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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Daniel E. Afar et al. Examiner: Not yet assigned  
Serial No.: 09/455,486 Group Art Unit: 1643  
Filed: December 6, 1999 Docket: G&C 129.17-US-II  
Title: NOVEL SERPENTINE TRANSMEMBRANE ANTIGENS EXPRESSED IN HUMAN  
CANCERS AND USES THEREOF

**CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on October 6, 2000.

By: 

Name: Karen S. Canady

Attn: Office of Initial Patent Examination  
Customer Service Center  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing under 37 CFR 1.8.
- ☒ Request for Corrected Filing Receipt and Copy of Filing Receipt.
- ☒ Return postcard.

Please consider this a **PETITION FOR EXTENSION OF TIME** for a sufficient number of months to enter these papers, if appropriate.

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-0494 of Gates & Cooper. A duplicate of this paper is enclosed.

**GATES & COOPER**  
Howard Hughes Center  
6701 Center Drive West, Suite 1050  
Los Angeles, CA 90045  
(310) 641-8797

By: 

Name: Karen S. Canady  
Reg. No.: 39,927  
KSC/amb

(PTO TRANSMITTAL - GENERAL)



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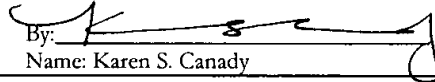
NOV 07 2000

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Applicant: Daniel E. A far et al. Examiner: Not yet assigned  
Serial No.: 09/455,486 Group Art Unit: 1643  
Filed: December 6, 1999 Docket: G&C 129.17-US-I1  
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## REQUEST FOR CORRECTED FILING RECEIPT

Attn: Office of Initial Patent Examination  
Customer Service Center  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Enclosed is a photocopy of the filing receipt from the U.S. Patent and Trademark Office in the above-identified application showing requested corrections. The filing receipt is erroneous in the following respects as reflected in the papers originally filed on April 10, 2000.

The requested correction is shown in **Bold** as follows:

Continuing Data as Claimed by Applicant

**THIS APPLICATION IS A CIP OF 09/323,873 06/01/99**

Correction of the records of the U.S. Patent and Trademark Office and issuance of a corrected filing receipt are respectfully solicited.



Date: October 6, 2000

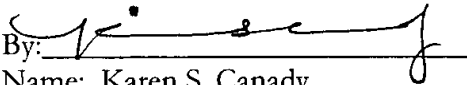
KSC/amb

Respectfully submitted,

Daniel E. Afar et al.

By their attorneys,

GATES & COOPER  
Howard Hughes Center  
6701 Center Drive West, Suite 1050  
Los Angeles, California 90045  
(310) 641-8797

By: 

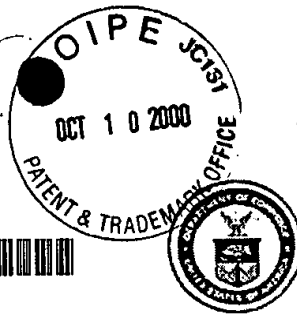
Name: Karen S. Canady

Reg. No.: 39,927

## FILING RECEIPT



\*OC000000005066830\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231NOV 07 2000  
TECH CENTER 1600/2800

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/455,486	12/06/1999	1643	1013	1703-011.US2	37	39	8

KENNETH K SHARPLES  
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POINT REYES STATION, CA 94956

Date Mailed: 04/21/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

## Applicant(s)

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## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CIP OF 09/323,873

## Foreign Applications

↑  
INSERT

If Required, Foreign Filing License Granted 01/11/2000

\*\* SMALL ENTITY \*\*

## Title

NOVEL SERPENTINE TRANSMEMBRANE ANTIGENS EXPRESSED IN HUMAN CANCERS  
AND USES THEREOF

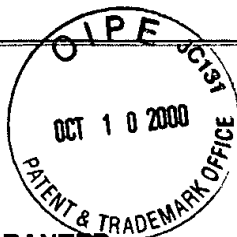
## Preliminary Class

424

Data entry by : JACKSON, MINNIE

• Team : OIPE

Date: 04/21/2000



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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

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Office of Initial Patent Examination  
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Washington, DC 20231